

NETWORK B
MARKET COMMUNICATIONS
 Schedule of Monthly Charges
 (Excluding Applicable Taxes)

<u>Service</u> ^{1, 7}	<u>Rate</u>
Ticker Display ^{2, 3, 5, 6}	\$ 13.60
Communication Facilities ^{8, 9}	\$ 250.00/each unit
Line Splitter ⁸	\$ 30.00/each unit
Interrogation Units – Professionals ^{2, 3}	
CTA Participating Members Last Sale	\$ 13.60/each unit
Non-Members Last Sale	\$ 14.60/each unit
Interrogation Units – Non-Professionals ^{2, 3}	
Last Sale, Bid-Ask Combined Services	\$ 1.00/each unit
Usage-based, per-quote fee – Professionals and Non-Professionals ¹⁰	
First 20 million quotes disseminated during a month	\$.0075
Each of the next 20 million quotes	\$.0050
Each additional quote disseminated during a month	\$.0025
Broker-Dealer Enterprise – Maximum Monthly Charges ¹¹	\$ 500,000

Notes to Schedule A-3

- ¹ Includes last sales and bid-ask in Network B securities distributed by Participants in Consolidated Tape Association; namely the American, Boston, Chicago, Cincinnati, Pacific and Philadelphia Stock Exchanges, the Chicago Board Options Exchange and the NASD.
- ² Charges are "per location" and do not include one-time installation, relocation and other miscellaneous charges where applicable, which are generally a direct pass-through from the common carrier to the subscriber.
- ³ Charges by vendor furnishing equipment are in addition to these charges.
- ⁴ Only one first unit charge applies in an office subscribing to units from two or more vendors.
- ⁵ Plus charges, if any for interconnecting facilities required in connection with additional units.
- ⁶ Includes Retransmitted, Blocked, and/or Selective Ticker Displays.
- ⁷ Excluding applicable taxes.

- ⁸ Charges are "per facility or device" and do not include installation, relocation or other miscellaneous charges as applicable.
- ⁹ Charges for delivery of ticker signal to customer location applies to all locations in the continental USA and Toronto. Rates for other locations may be higher and based on actual delivery costs.
- ¹⁰ Per-quote-packet charge is an alternative to monthly display charges and applies equally to professional and non-professional subscribers. A quote packet includes any data element or all data elements in respect of a single issue. Last, open, high, low, volume, net change, bid offer, size, and best bid and offer with size are examples of data elements. "IBM" is an example of a single issue. An Index value is deemed to be a single issue data element. Vendor may cap at \$1.00 the per-quote-packet charges payable for any month in respect of any customer that qualifies as a non-professional subscriber, regardless of how many quote-packets the customer may receive during the month.
- ¹¹ An entity that is registered as a broker/dealer under the Securities Exchange Act of 1934 is not required to pay more than \$500,000 for any month for the aggregate amount of (a) Network B display-device charges for devices that its officers, partners and employees use plus (b) Network B display-device and per-quote packet charges payable in respect of services that it provides to nonprofessional subscribers that are brokerage account customers of the broker/dealer. Beginning with calendar year 2001, the \$500,000 amount will increase each calendar year by an amount equal to the percentage increase in the annual composite share volume for the preceding calendar year, subject to a maximum annual increase of five percent.

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<u>Method of Data Access</u>	<u>Rate</u>
1) High Speed Line – Direct	See notes ^{1, 2}
2) High Speed Line – Indirect	See note ¹
<u>Computer Program Classifications</u> ^{3, 4, 5}	<u>Rate</u>
A. Interrogation services	See note ⁶
B. Compilation of stock tables	\$ 200
C. Operations control programs	\$ 200
D. Analysis programs	\$ 200
E. Market making programs	\$ 1,000
F. Real-Time market check	\$ 100
G. Automated voice response	See note ⁷
H. Automated printer reports	See note ⁷

Notes to Schedule A-4

- ¹ Includes AMEX-listed or eligible securities (Network B data) and NYSE-listed securities (Network A data). Contact the NYSE for information and rates. Computer program charges are in addition to access charges.
- ² Subscriber must provide telecommunications facilities into 55 Water Street, New York, NY to access data.
- ³ Classification descriptions are provided in the Network B Computer Program Classifications descriptions.
- ⁴ Rates apply to Network B securities only. Contact the NYSE for information and rates concerning Network A.
- ⁵ Fees do not apply to stand-alone terminals (i.e., personal computers) for personal use.
- ⁶ No computer program charge applies to vendor, but standard subscriber interrogation unit charges apply.
- ⁷ Professional subscriber device rates apply on the basis of the maximum number of simultaneous inquiries that can be handled at any one time.